

# **NEW JERSEY'S PROPOSED RULE ON FIDUCIARY STANDARDS FOR FINANCIAL PROFESSIONALS: UNDERSTANDING THE PROPOSAL AND ITS IMPACT**

**Wednesday, April 1, 2020 | 4:30 p.m. – 6:30 p.m.**  
Reception to follow

## **Rutgers Law School**

Sills Cummis Lecture Hall | Room 80 | Center for Law and Justice | 123 Washington Street | Newark, NJ 07102

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This program will discuss the nuances of New Jersey's Proposed Rule regarding the fiduciary duties of financial professionals within the state. Led by Rutgers Law School professor Arthur Laby, the panel includes experts in the field of securities law and fiduciary duties. Attendees will leave with a greater understanding of the origins of New Jersey's Proposed Rule, the scope of the new fiduciary duty, and to whom the duty is owed. The speakers will also draw comparisons to the U.S. Securities and Exchange Commission's Regulation Best Interest, enacted in June 2019, as well as similar proposed rules in Nevada and Massachusetts. The panel discussion will conclude with an analysis of the potential outcomes of the New Jersey Proposed Rule, as well as best practice tips for legal professionals regarding how their clients may be impacted by the Proposed Rule.

### **Panelists:**

**Amy B. Bard, Esq.**  
Law Offices of Amy B. Bard

**Christopher W. Gerold**  
Chief | New Jersey Bureau of Securities

**Hasan M. Ibrahim**  
Vice President and Chief Legal Officer  
Prudential Advisors

**Arthur Laby**  
Professor of Law | Rutgers Law School  
Co-Director | Rutgers Center for Corporate Law  
and Governance

**Jenice L. Malecki, Esq.**  
Malecki Law

**CLE Credit: NJ: 2.2 | NY: 2.0 | PA: 1.5**

**NJ CLE Information:** This program has been approved by the Board on Continuing Legal Education of the Supreme Court of New Jersey for 2.2 hours of total CLE credit.

**Registration Fee: \$50 or free** for guests who do not want CLE credit with promo code **NOCLE710**

**Register at [rutgerscle.com](https://rutgerscle.com)**